## The Lowdown on Lidar

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#### Who am I?

- Software engineer with 25 years experience
- Chief Java Architect at Google (2004-2012)
- Distinguished Engineer at Sun (1996-2004)
- Led design of numerous Java platform features
- Author of several books, including bestselling, Jolt Award-winning Effective Java
- Ph.D. in CS from CMU, B.S. from Columbia



#### So what am I doing here?

- Worked my way through Columbia designing firmware for optical measuring instruments
  - But that was 25 years ago; I am not a Lidar expert
- And I have some legal experience
  - Key witness in a high profile federal IP case
     Oracle America, Inc. v. Google, Inc.,
     810 F.Supp.2d 1002 (N.D. Cal. 2011)
  - But that has nothing to do with this seminar

#### What am I really doing here?

- Last October, I got a Lidar ticket for going
   71 MPH in a 55 MPH zone in Pleasanton
- Had reason to believe I wasn't going that fast
- Read ticket carefully, studied the technology
- Concluded that the ticket didn't establish guilt beyond reasonable doubt
- Filed TBD, but found guilty so I went to court
  - Kim Burgess represented me
- Kim invited me here to share what I learned

#### **Outline**

- I. A brief quiz
- II. How Lidar works an engineer's perspective
- III. How this applies to my ticket
- IV. What do the experts say?
- V. Conclusion

1. Does Lidar measure speed?

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**Yes**: sweep effect

#### Don't feel bad if you got wrong answers

So did Sgt. Greg Koran, CA POST-certified Radar and Lidar instructor (Law Officer Magazine, October 27, 2005)

"The lidar unit displays only valid speed readings."

"You can obtain a speed reading for a specific vehicle as long as you have a direct line of sight."

"The lidar signal only hits one vehicle, so there's little doubt which vehicle's speed the unit displays"

"Lidar units...display the speed of only the vehicle the officer selects."

"Lidar can instantly give an officer an accurate measurement of objects several thousand ft away."

#### II. How Lidar works, roughly speaking

- Gun emits a pulse of infrared laser light
- Pulse bounces off car and returns to gun
- Gun detects returning pulse and measures its **time**-of-flight  $(t_f)$
- Remember,  $speed = \frac{distance}{time}$ , so  $distance = time \cdot speed$ 
  - Speed of light is constant (c ≈ 186,000 miles/sec)
  - **Distance** from gun to target  $d = \frac{t_f \cdot c}{2}$





### How Lidar works, roughly speaking (2)

- Then gun waits a while & does it all over again
- Has two *time-distance* pairs,  $(t_1, d_1)$  and  $(t_2, d_2)$
- Car moved distance  $(d_2 d_1)$  in time  $(t_2 t_1)$
- So car's speed is  $\frac{d_2-d_1}{t_2-t_1}$

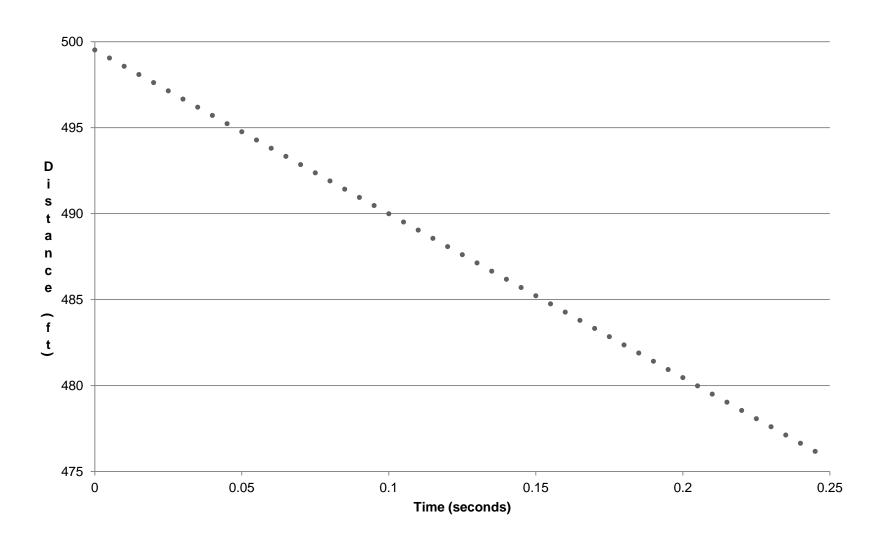




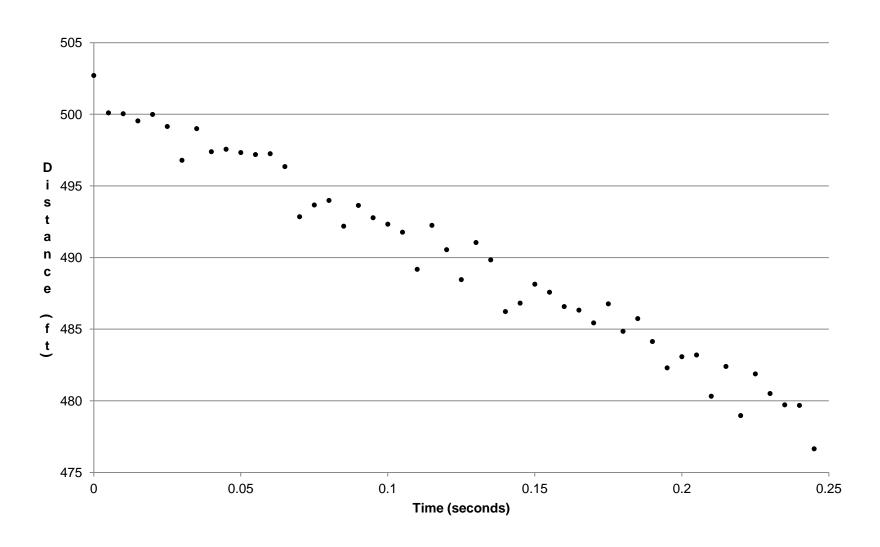
# How many measurements does a Lidar gun take, over what time period?

- I don't know
  - It's proprietary, and differs from model to model
- Specifications give target acquisition time
  - Upper bound on the measurement period
- Most specifications also give pulse rate
  - # measurements < (pulse rate) × (acquisition time)</p>
- Typical target acquisition time: ~ ½ second
- Most guns have pulse rates of 125 Hz 400 Hz
  - TruSpeed S: variable rate, 4 KHz burst, 2.8 KHz avg.

## Ideally, raw data would look like this



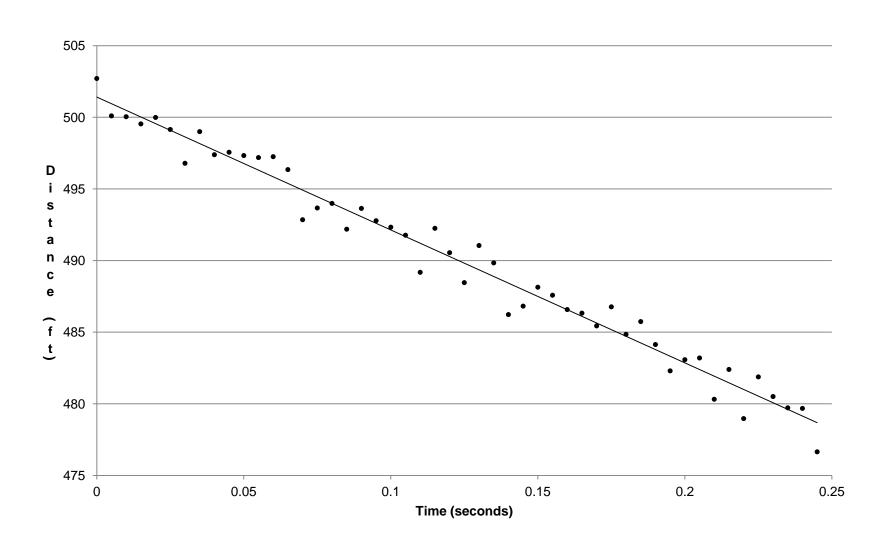
### In real life, raw data is noisy



#### How does Lidar gun cope with raw data?

- I don't know!
  - Algorithm is proprietary; differs from model to model
- Specs and operator manuals provide no clue!
- Clearly it's some form of statistical analysis
- The seminal speed Lidar patent (LTI) says this
  - "To enhance the accuracy of speed measurement and compensate for errors due to bad target conditions, poor user operation of the device, and the like, the speed is computed from multiple pairs of measurements. A highly preferred form of the computation utilizes a least-squares algorithm."

#### Speed estimation with least squares

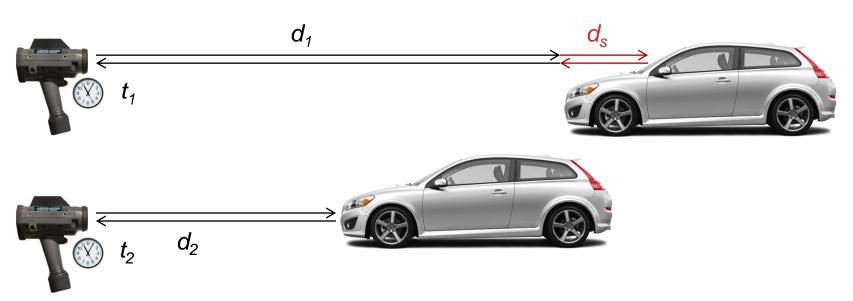


#### A closer look at distance measurements

- A computation is only as good as its inputs
  - In CS, we say "garbage in, garbage out"
- Speed computation assumes each distance measurement is to the same point on car
  - But the Lidar gun can't know for sure
- Officer can accidentally hit multiple parts of car
  - Gun conflates motion of beam on car w/ car on road
  - Resulting speed "measurement" can be low or high
  - Known as the <u>sweep effect</u> (or <u>slip effect</u>)

#### Sweep effect – diagram

- Car's actual speed is  $\frac{d_2-d_1}{t_2-t_1}$
- But gun measures first distance as  $d_1 + d_s$
- So it erroneously reports speed as  $\frac{dz+ds-dt}{tz-tt}$ 
  - Which is too high by  $\frac{ds}{t_2-t_1}$

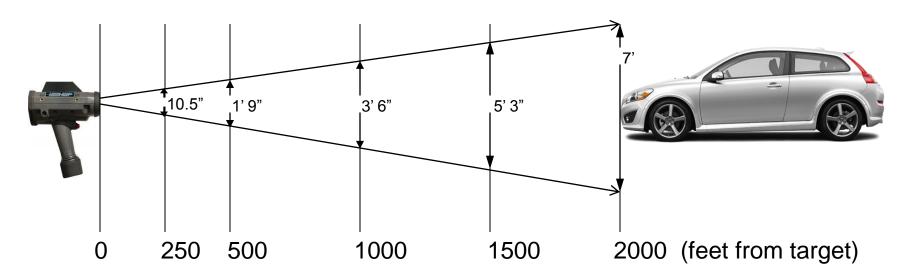


# Honest manufacturers admit that their guns are susceptible to the sweep effect



#### Beam spread

- Specs for Lidar guns include beam divergence
  - Typically 2.5 3.5 milliradians (.14° .20°)
- This yields a simple formula for beam width
  - width =  $2 \times \tan(divergence / 2) \times d = .0035 \times d$



#### Hand shake

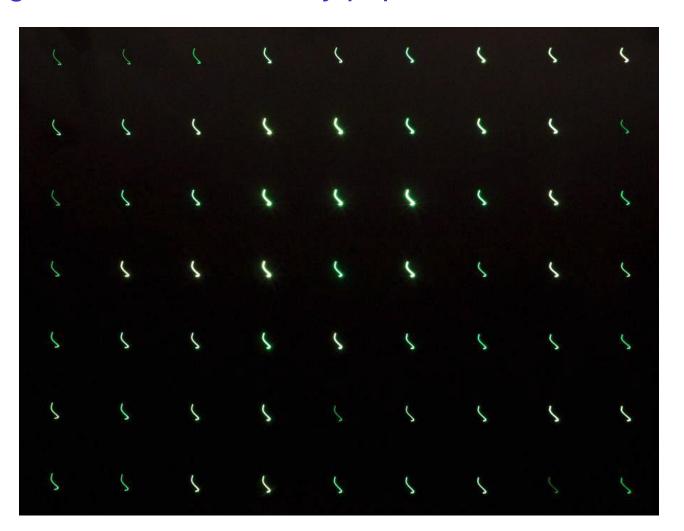
- Officer is tracking moving car with handheld gun
  - Could use tripod, but most officers don't
- Measurement interval is long (~ ½ s)
- Even if car weren't moving, no one can hold hand perfectly still this long
- Any photographer knows this ("camera shake")
  - Handheld exposure with ⅓ s shutter speed is blurry



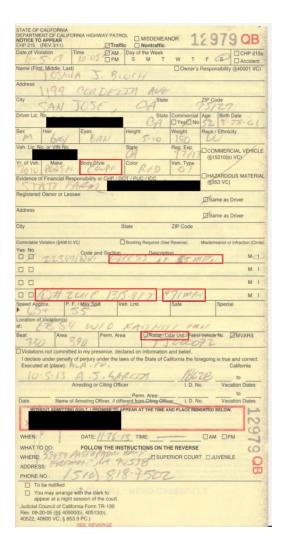
The longer the focal distance, the blurrier

#### Hand shake – a picture is worth 1000 words

Photographer asked to hold DSLR perfectly still (1/3 s.) Image taken from scholarly paper on camera shake

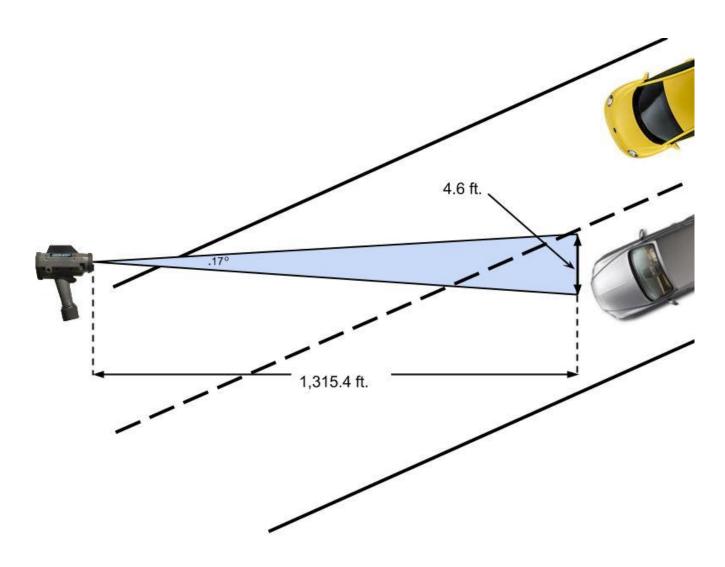


#### III. How does all this apply to my ticket?

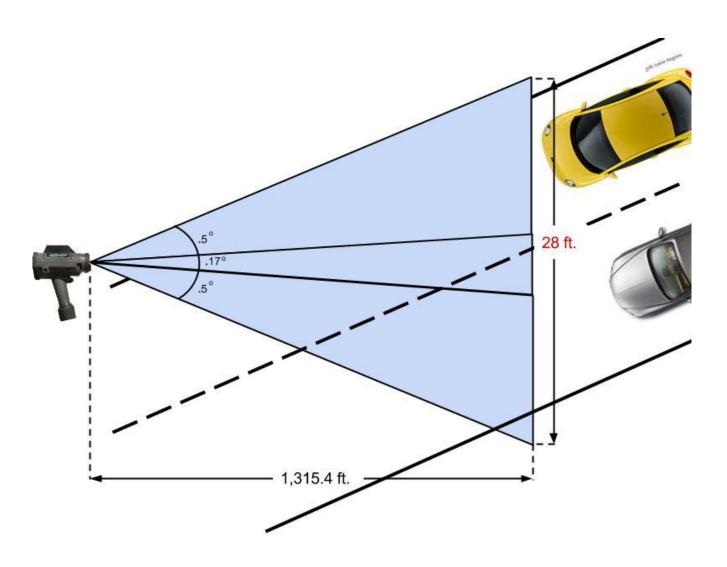


- 4 lane highway, lane 2
- Daylight
- 71 MPH in a 55 zone
- Lidar at 1,315.4 feet
- (Convertible erroneously described as a coupe)

## Beam spread assuming perfect aim



### Beam spread assuming ½° of shake



#### What part(s) of car did beam bounce off?

- Officers trained to aim at reflective vertical surfaces
- Suppose officer aimed at headlight, but accidentally hit prominent sideview mirror, then swept to headlight





Note that headlight is 6 feet from mirror

#### What could sweep do to speed reading?

- Gun "measures" speed for ~0.3s
- Suppose I was going the speed limit (55 MPH)
  - In 0.3s, car would go 55 MPH  $\times$  0.3s, or 24 feet
- Suppose a tiny hand motion caused laser beam to sweep from mirror to headlight
  - Would add 6 feet to the estimated distance
- Resulting speed reading:  $\frac{24 \text{ft.} + 6 \text{ft.}}{0.3 \text{s}} = 68 \text{ MPH}$
- I don't claim this is precisely what happened
  - But it is roughly consistent with the ticket

#### IV. What do the experts say?

- Courts
- Legal scholars
- Technical experts
- The California Highway Patrol (CHP)
- Manufacturers
- Regulatory agencies

#### Seminal ruling on admissibility of Lidar

In re Admissibility of Motor Vehicle Speed Readings Produced by the LTI Marksman 20-20 Laser Speed Detection Sys., 314 N.J.Super. 233, 714 A.2d 381, 391-92 (1998)

"Admissibility of [Lidar] readings shall be subject to the rules set forth below:

Speed measurements made at any distance up to 1,000 feet shall be admitted, but measurements made at any distance in excess of 1,000 feet shall be admitted only with the support of adequate expert testimony in the individual case."

- This was 1998; have instruments improved?
  - Yes, but the laws of physics haven't changed

## Recent St. Mary's Law Journal article in favor of judicial notice for Lidar evidence

Ryan V. Cox & Carl Fors, 42 St. Mary's L.J. 837 (2011)

"Generally, it is advised by most manufacturers that an officer should not use laser readings past 1,000 feet for speeding infractions because the laser's three-milliradian beam at 1,000 feet is thirty-six inches wide and, due to operator handshake, it is possible, though not probable, that part of the laser's beam might strike an adjacent vehicle."

- Newest guns have wider beams
  - TruSpeed S has a 3.5 milliradian beam
  - That's 17% larger
  - Beam covers 36% more area

# Recent (Oct 2012) *Police and Security News* article reviewing new Lidar guns

"If defense attorneys quote the New Jersey Superior Court ruling, a laser speed reading past 1,000 feet will not be accepted on two grounds: It violates the 1,000 Foot Rule; and recognition distance is exceeded in establishing a Valid Visual Tracking History."

Carl Fors, President of Speed Measurement Laboratories Inc., has 28 years of experience in field-testing radar and laser devices. He serves many jurisdictions as an expert witness in radar and laser gun trials and teaches NHTSA standard Master Radar and Laser Instructor Certification courses at law enforcement agencies here and abroad.



### **CHP Lidar Operator Training Manual (2012)**

"Long-Range Acquisition – Akin to a rifle, the lidar unit should not be used to acquire long range targets unless a stabilizing support is used. Long-range target acquisition is <u>not</u> recommended as it tends to make a proper tracking history more difficult to support."

"A laser beam emitted from a laser generator is very narrow in width and will not spread significantly."

"The narrow lidar beam width allows the instrument to operate with pinpoint accuracy in selecting specific vehicles on a crowded roadway."

"As with radar, the 'panning effect' may occur if the lidar unit is moved swiftly past a stationary object while transmitting. This may result in an error message."

#### **Manufacturer's Claims**







- "Ensures the accuracy of every speed measurement before it even displays reading in the device. Accuracy validation is what makes all LTI lasers the most dependable speed device in the world, and why they have always stood up in court."
  - Laser Technology, Speed Enforcement/Measurement web page
- "An extremely narrow beam for absolute target identification."
  - Kustom Signals, ProLaser III Operator's Manual
- "Amazingly Accurate... Easy speed detection and targeting in single or multi-lane traffic, regardless of traffic congestion. 6000' Max Acquisition Range."
  - Digital Ally, Laser Ally web page

#### Stalker comes clean!

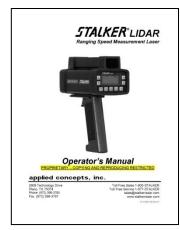


- Stalker Lidar manual (2008) discusses in depth
  - Statistical nature of "measurement"
  - Beam spread
  - Sweep effect
  - Importance of steady aim
- But they go to great lengths to keep manual away from the general public

Notice of Trade Secret. This Operator's Manual contains trade secret and protected information that is exempted from public and/or unauthorized disclosure under various state laws, federal laws, and the definition of trade secret under the Restatement of Torts.

Unauthorized or public disclosure of this Operator's Manual may cause substantial competitive injury or harm to Applied Concepts, Inc. APPLIED CONCEPTS, INC. SPECIFICALLY ASSERTS ALL OF ITS APPLICABLE PRIVILEGES AND EXCEPTIONS TO PROTECT ITS TRADE SECRETS AND PREVENT UNAUTHORIZED PUBLICATION AND DISCLOSURE OF THE OPERATOR'S MANUAL.

Standard of Care. You agree not use this Operator's Manual for any purpose other than in connection with police radar enforcement. You agree that the standard of care which you shall use in preventing disclosure of the Operator's Manual to third parties shall be at least the same care that you would take in preserving the confidentiality of your own sensitive information and classified documents. You also agree to exercise reasonable care in overseeing those with access to the Operator's Manual, and shall limit such access to only those of who have a need to know.



### The NHTSA regulates Lidar guns





DOT HS 809 811

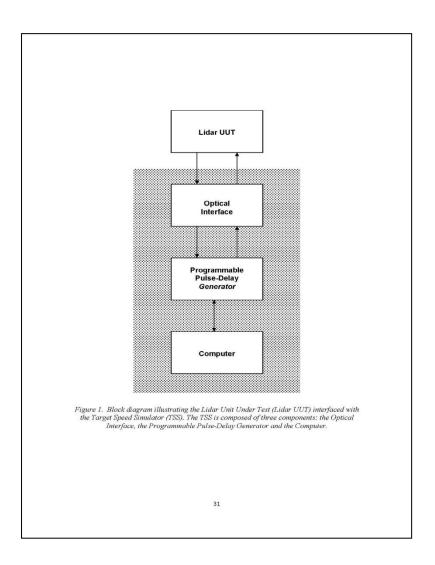
March 20

LIDAR Speed-Measuring Device Performance Specifications

- Document specifies tests
- Testing labs certify Lidar guns
- IACP publishes list
- Police procure only listed guns

"NHTSA believes that these specifications and testing protocols will increase the confidence of the public, the courts, and law enforcement officers in the accuracy and reliability of this equipment."

### Most of the required tests are performed on a "Target Speed Simulator"



- Lidar gun sits on lab bench
- Optically coupled to simulator with manufacturer-provided gear
  - Fiber optic cables permitted
- Simulator emits "return" pulses timed to simulate speed, distance
- Designed for ease of testing at the expense of realism
- Far-better-than-ideal conditions
  - Relevance to real world is unclear



# Lidar Speed Measurement Simulator

The LTS hit obsolescence in the early 2000's when replacement parts were difficult to find. In 2004, the IACP contracted with Laser Technology, Inc. to design a replacement LTS. The LSMS was designed and 3 systems delivered to IACP. Since then many systems have been sold worldwide.



- Built for purpose system
- All timing and delays loaded internally
- Original Specification = 1 KHz max pulse rate
  - Has since been increased to 13 KHz
- Based on lidar units which operate using a fixed PRR
- Optical interface handles high pulse rates
- Includes a sweep test
   (simulates sweeping towards the lidar, 1.52 m (5 ft.) along a

   Smooth sheet-metal area in 0.178 s )





Speed Measurement Lidar Technology and Conformance Testir

### NHTSA Spec on the sweep effect

"A LIDAR unit can potentially read an erroneous speed if successive laser pulses are not all reflected from the same part of the same target vehicle. It is the user's job to hold the laser device steady, but there is also a need for the instrument to reject bad data based on clues contained in the data set. That is, raw data of range versus time should ideally plot as a straight line; when the raw data deviate from straightness, the speed derived from the data is suspect, and it should not be displayed. The exact criteria for rejecting suspicious data have been a matter of engineering development and are proprietary to the LIDAR manufacturers. This section will verify that the UUT has some ability to reject suspicious data."

### NHTSA required "real world" (field) tests

- All tests can be performed on tripod
  - No assurance of accuracy of handheld reading
- Only three speeds are tested: 20, 50, 70 MPH
  - Speeds outside this range aren't tested
  - Linearity within this range isn't tested
- Test car drives at a constant speed
  - Accuracy when speeding up or slowing isn't tested
- 1,000 feet suffices for range accuracy test
  - No assurance of accuracy at greater range
- These tests don't cover real-world conditions!

### An important omission in the spec

- Spec doesn't prohibit firmware updates
- Some manufacturers issue them frequently
- New firmware can mean "new Lidar gun"
  - Key statistical algorithms can change
  - Gun used to write ticket may not have been certified

### A possible conflict of interest

#### **ACKNOWLEDGMENTS**

NHTSA wishes to express its appreciation to the following people and agencies who have contributed to the publication of this document:

Members of the Enforcement Technologies Advisory Technical Subcommittee (ETATS.)

Highway Safety Committee, International Association of Chiefs of Police.

Sarah Horn, Program Manager, International Association of Chiefs of Police

Appreciation is also extended to the manufacturers of LIDAR speed-measuring devices for their cooperation in the preparation of this document.

- Standards bodies should represent all stakeholders
- Who is looking out for the motoring public?

### Does the NHTSA spec satisfy its goal?

- "To increase the confidence of the public, the courts, and law enforcement officers in the accuracy and reliability of this equipment."
- I know what I think, but you be the judge
  - I encourage you to read the spec for yourself

#### **Conclusions**

- Lidar guns are a valid speed enforcement tool
  - But they aren't infallible!
  - Wrong-car and wrong-speed errors do occur
- Error rates increase with distance from target
- New Jersey got it right in 1998
  - Readings from > 1,000 feet should be inadmissible
- The problem is not well publicized
  - Unbiased information is *very* hard to come by
  - I've provided an annotated bibliography

#### Recommendations

#### Lawyers and motorists:

- Contest Lidar tickets in excess of 1,000 feet
- If you lose, consider an appeal
  - California needs case law
  - -I'll be happy to provide pro bono assistance

#### Law enforcement personnel:

- Don't issue LIDAR tickets in excess of 1,000 feet
- Don't let marketing influence your training materials

### **Recommendations (continued)**

### Lidar speed guns manufacturers:

- Don't make misleading claims
- Provide *much* more information on the web
  - —At a minimum, complete specs and operator manuals
  - -Ideally, service manuals and source code

#### Regulatory agencies:

- Vastly improve certification requirements
- Consider requiring publication of all manuals
- Optics matter: distance yourself from manufacturers

### **Postscript**

"What about that ticket, Josh?"

- My attorney conferred with officer, who agreed to reduce the charge to a no-points violation
- We didn't know anything about the judge, so I thought it wise to accept the settlement
- But the county ended up refunding my fine
  - The check said "exonerated" ©

### The Lowdown on Lidar

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